



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 4449

<b>SERIAL NUMBER</b> 09/685,285	<b>FILING DATE</b> 10/10/2000 <b>RULE</b>	<b>CLASS</b> 705 713	<b>GROUP ART UNIT</b> 2162 2121	<b>ATTORNEY DOCKET NO.</b> 05456.105008
<b>APPLICANTS</b> John M. Hammer, Atlanta, GA; Rixin Ge, Atlanta, GA; Charles D. Burke, Atlanta, GA; Charles Hubbard, Atlanta, GA; John M. Hammer, Atlanta, GA;				
<b>** CONTINUING DATA *****</b> THIS APPLICATION IS A CIP OF 09/663,886 09/15/2000				
<b>** FOREIGN APPLICATIONS *****</b>				
<b>IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 12/10/2000</b>				
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance		<b>STATE OR COUNTRY</b> GA	<b>SHEETS DRAWING</b> 29	<b>TOTAL CLAIMS</b> 65
Verified and Acknowledged Examiner's Signature: [Signature] Initials: [Initials]		<b>INDEPENDENT CLAIMS</b> 4		
<b>ADDRESS</b> Steven P Wigmore Esq King & Spalding 191 Peachtree Street NE 45th Floor Atlanta, GA 30303				
<b>TITLE</b> Method and system for creating a record for one or more computer security incidents				
<b>FILING FEE RECEIVED</b> 1730	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees ( Filing ) <input type="checkbox"/> 1.17 Fees ( Processing Ext. of time ) <input type="checkbox"/> 1.18 Fees ( Issue ) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit	

FILE COPY



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



Bib Data Sheet

<b>SERIAL NUMBER</b> 09/685,285	<b>FILING DATE</b> 10/10/2000 <b>RULE</b> -	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2162	<b>ATTORNEY DOCKET NO.</b> 05456.105008
------------------------------------	---	---------------------	-------------------------------	--

**APPLICANTS**

John M. Hammer, Atlanta, GA ;  
Rixin Ge, Atlanta, GA ;  
Charles D. Burke, Atlanta, GA ;  
Charles Hubbard, Atlanta, GA ;  
John M. Hammer, Atlanta, GA ;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/663,886 09/15/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* 12/10/2000**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> GA	<b>SHEETS DRAWING</b> 29	<b>TOTAL CLAIMS</b> 65	<b>INDEPENDENT CLAIMS</b> 4
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____	Initials _____		

**ADDRESS**

Steven P Wigmore Esq  
King & Spalding  
191 Peachtree Street NE  
45th Floor  
Atlanta ,GA 30303

**TITLE**

Method and system for creating a record for one or more computer security incidents

<b>FILING FEE RECEIVED</b> 1730	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit



Receipt

PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

MAR 29 2001

Technology Center 2100

In re Patent Application of:

**John M. Hammer et al.**

Serial No.: **09/684,285**

Filed: **October 10, 2000**

For: **Method and System for Creating a  
Record for One or More Computer  
Security Incidents**

Group Art Unit: **2162**

**REQUEST TO CORRECT FILING RECEIPT**

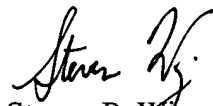
Assistant Commissioner of Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

January 4, 2001

Sir:

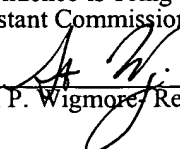
Upon review of the Official Filing Receipt, Applicants noted that the first inventor --John M. Hammer-- was omitted, and that the second inventor's last name --Ge-- was not capitalized. Attached is a copy of the Filing Receipt with the changes marked in red. Correction of the Filing Receipt is respectfully requested.

Respectfully submitted,

  
Steven P. Wigmore  
Reg. No. 40,447

KING & SPALDING  
45<sup>th</sup> Floor, 191 Peachtree Street, N.E.  
Atlanta, Georgia 30303  
404.572.4600  
K&S Docket No. 05456.105008

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, DC 20231, on January 4, 2001.

  
Steven P. Wigmore, Reg. No. 40,447



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/685,285	10/10/2000	2162	0	05456.105008	29	65	4

Steven P Wigmore Esq  
King & Spalding  
191 Peachtree Street NE  
45th Floor  
Atlanta, GA 30303



## FILING RECEIPT



\*OC000000005610631\*

Date Mailed: 12/11/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) *John M. Hammer*  
Rixing, Atlanta, GA *Ge*  
Charles D. Burke, Atlanta, GA;  
Charles Hubbard, Atlanta, GA;

RECEIVED

MAR 29 2001

## Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CIP OF 09/663,886 09/15/2000

Technology Center 2100

## Foreign Applications

If Required, Foreign Filing License Granted 12/10/2000

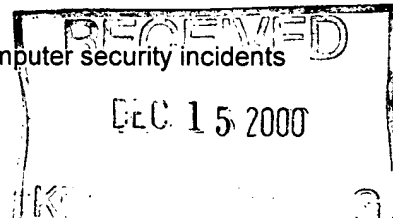
## Title

Method and system for creating a record for one or more computer security incidents

## Preliminary Class

705

DOCKET NO. 105008  
ATTORNEY 3/15/01  
FILED 12/15/00



Data entry by : NEWTON, WILLIAM

Team : OIPE

Date: 12/11/2000



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231

